



Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0034
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/2 (98-00)

RECEIVED
OCT 25 2002
Technology Center 2600

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/768,813	
	Filing Date	01/25/01	
	First Named Inventor	Darryl Rubin et al.	
	Group Art Unit	2641	
	Examiner Name	TBA	
Total Number of Pages in This Submission	5	Attorney Docket Number	003797.81833

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO 1449, 14 references, copies of six related applications International Search Report for PCT/US00/33129, 02/27/01		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary D. Fedorochko, Reg. No. 35,509
Signature	
Date	October 24, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2641
)	
Darryl Rubin et al.)	Examiner: TBA
)	
Serial Number: 09/768,813)	Attorney Docket No. 003797.81833
)	
Filed: January 25, 2001)	

RECEIVED
OCT 25 2002
Technology Center 2600

For: ANNOTATING ELECTRONIC INFORMATION WITH AUDIO CLIPS

INFORMATION DISCLOSURE STATEMENT

Commissioner of U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related application U.S. Serial No. 09/456,127 and related application U.S. Serial No. 09/455,805. Three of the documents were cited by the International Searching Authority for corresponding International Application No. PCT/US00/33129 on February 27, 2001. A copy of the search report is also enclosed.

Information Disclosure Statement

Serial No. 09/768,813

Page 2

Applicants also would like to apprise the Examiner of six related applications, 09/457,109; 09/455,805; 09/456,127; 09/556,865; 09/456,975 and 09/456,249. A copy of these applications are being provided herewith pursuant to 37 C.F.R. § 1.98(a).

Consideration of all information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. The accompanying Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. However, in the event a fee is due, please charge Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Information Disclosure Statement

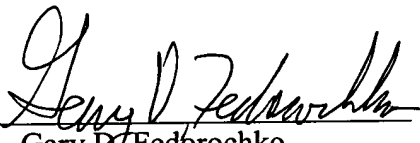
Serial No. 09/768,813

Page 3

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: October 24, 2002

By: 
Gary D. Fedorochko
Registration No. 35,509

Banner & Witcoff, LTD
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 508-9100



Sheet 1 of 1

PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 003797.81833	SERIAL NUMBER 09/768,813
	APPLICANT Darryl Rubin et al.	
	FILING DATE 01/25/01	GROUP ART UNIT 2641

RECEIVED
OCT 25 2002
Technology Center 2600

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,146,552	09/08/1992	Cassorla et al.			
	5,802,516	09/01/1998	Shwartz et al.			
	5,559,942	09/24/1996	Gough et al.			
	5,956,034	09/21/1999	Sachs, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	WO 97 22109 A	6/19/97	PCT			
	WO 87/01481	3/12/87	PCT			
	WO 98/09446	3/05/98	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SCHILIT and PRICE: "Digital Library Information Appliances", ACM Conference on Digital Libraries, June 23, 1992, pages 217-226	
GRAEFE, et al: "Designing the muse: A Digital Music Stand for the Symphony Musician", Proc. of Conf on Human Factors In Computing Systems, April 13-18, 1996, pages 436-441	
SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems; http://www.softbook.com/consumer/reader.asp	
Rocket eBook Using the Rocket eBook; http://www.rocketbook.com/Products/Faq/using.html	
Explore the Features of the Rocket eBook; http://www.rocketbook.com/Products/Tour/index.html	
CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517	
EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	